

# Software Defects Classification Using RNN Model

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## Abstract

For development of software, controlling the quality is a prime concern of developers. Machine learning (ML) techniques enable software engineers to carry research in defect prediction that relies primarily on hand-crafted attributes, which are used to classify defect code in ML classifications. In the present study ML approach, a Recurrent Neural Network (RNN) for predicting software defect were used on PROMISE dataset for five different version of software defects. The proposed approach is compared with two existing approaches i.e. RF & SVM to predict software faults on historical data. Experiments on various Pledge datasets from the PROMISE repository: JM1, KC1, KC2, PCI and CM1 variants are studied. The proposed approach is better than the two existing support vector machine and random forest approaches in analysis. The RNN model in the present study shows accuracy in the range of 93.74 to 95.9 %. It shows maximum accuracy in PC1, and least in JM1. Further, the proposed approach gives precision in the range of 91.39 to 95.28 %. It shows highest precision in CM1, and least in PC1. Similarly, Recall is observed in the range of 92.21 to 94.64 % and the highest recall is observed in JM1, and least in KC2.

**Keywords:** PROMISE Dataset, RNN, Machine Learning, Deep Learning.

## INTRODUCTION

The software defects, imperfections during software development would make the desired software to fail to meet the actual requirements or may cause an unexpected result. Software defects are error or mistakes, or may be bug in a software program that may cause unexpected outcome, or results malfunctioning in software. This error in the program may produce unintended outcome and prevent working as intended. Software defect prediction is localization of defects or modules have error in software. Software defects may significantly affect the software and may increase the software development cost due to expenses in

identifying and correcting bugs. Defect prevention and identification are one of the critical part or important in software quality assurance. The quality of software depends on the possibilities of arising defects. High-risk elements should be predicting at the earliest to increase the efficiency of the software. The software defect detection and defect repair are the most time-consuming and costly in software development. Technically it is almost not feasible to remove each defect, but it is possible to reduce the defects in order to develop software modules with reliability and accuracy. The software defect prediction assists software developers in detection of defects or

In order to create prediction models, defect and measurement data must be obtained from real software development efforts to use as learning data. Long Short-Term Memory (LSTM) recurrent neural networks (RNNs) have potential to beat state-of-the-art Deep Neural Network (DNN) systems[1], [2]. LSTMs are recurrent neural network have units known as memory blocks in the layers of recurrent hidden layer and are easier to train than standard RNNs[3], [4]. The Model must be measured by comparing the expected deficiency of the modules in the test against their real deficiency. Lessmann et al, [5] studied Benchmarking Classification Models for Software Defect Prediction. Recently some workers, [6] studied Software defect prediction using machine learning techniques and a systematic research analysis is conducted in which parameters of confusion, precision, recall, recognition accuracy, etc., are measured as well as compared with the prevailing schemes. ML based models are an important strategy for programming imperfection forecasts, these can be used for either classification or to estimate defect count/densities. Recent work demonstrated learning by experience improvise these models on their predictive accuracy by adjusting their value of parameters[7]. The analytical analysis indicates that the proposed approach will provide more useful solutions for device defects prediction.

The software defect prediction to construct a prediction model, defect and data are required from development for learning process, the step labelling requires data for

the training of the prediction model. The Prediction Models, Support Vector Machines or Bayesian Network or other General machine learners are used for a Prediction Model construction using a training set. In the Assessment step, a prediction model is evaluated using test data in addition to a training set by comparing the prediction and real labels[8]. To develop modules with reliability and accuracy, software defect prediction is required to assist developers in finding potential bugs and allocating their testing efforts. In order to create these prediction models, defect and measurement data must be obtained from real software development efforts to use it as a learning collection.

## **MOTIVATION**

Software Defect prediction is the critical requirement of software quality and reliability. For detecting error prone modules in an intensive system and the Software Defect prediction serves as a dynamic and self-motivated research domain in software development for efficient management of the software quality. The model can be developed by prior resources and can predict defect prone modules.

## **RELATED WORK**

Akiyama[9] built the first defect prediction model to predict the number of defects based on lines of code and built a very simple model using LOC. Tripathi and Rai in, [10] presented a comparative analysis between traditional and Machine Learning methods and show that ML approaches have a more reliable estimate of effort relative to conventional methods of

estimating effort. Bansal et al.[11]reported that the production of a fuzzy multi-criteria-based approach to decision-making by combining Fuzzy Set Theory. Muketha[12] exhaustively study current software commitment calculation approaches by developing calculation methods tailored to modernise app creation techniques. Lessmann et al, [5]studied Benchmarking Classification Models for Software Defect Prediction. Prabha and Shivakumar[6] studied Software defect prediction using machine learning techniques and A systematic research analysis is conducted in which parameters of confusion, precision, recall, recognition accuracy, etc. are measured as well as compared with the prevailing schemes.

Przemyslaw Pospieszny *et al.* [13] *in 2018 reported* Software effort and duration estimation using ISBSG dataset, intelligent data preparation, an average group of three machine learning algorithms and cross-validation ISBSG data collection. Research gap: The commitment and length calculation models obtained was supposed to provide a decision making method for designing organizations or integrating information systems as research gaps.

Dhingra and Mann in 2014 [14] *worked on* neuro fuzzy model for estimating software time using Membership function models (Gaussian MF, Triangular MF and Trapezoidal MF). Research gap: Neuro Fuzzy model of Trapezoidal membership feature performs more than every other configuration.

Federica Sarro *et al.* in 2016 [15] *presented* Bi-objective effort estimation algorithm using Real-world datasets from the PROMISE repository, involving 724 different software projects

in total. Research gap: The planned algorithm exceeds the standard, modernized well as all the three substitute formulations, which are statistically relevant ( $p < 0.001$ ) and with large effect size ( $A_{12} \geq 0.9$ ) over all five datasets

Ochodek *et al.* in 2015 [16] *studied* using Function Point Analysis (FPA), Software Non-functional Assessment Process (SNAP) Measure the non-functional size of applications. Research gap: The study findings indicate that SNAP will help to alleviate certain well- FPA process limitations.

Ingold *et al.* in 2013 [17] *work on* Constructive Rapid Application Development Model (CORADMO) Research gap: CORADMO attempts to measure the influence of primary program factors and thereby helps managers to predict the relative timetable arising from the variance of certain parameters.

Humayun and Gang in 2012 [18] *work on* effort estimation using Global software development (GSD), artificial intelligence, machine learning. A qualitative study is performed between conventional approaches for calculating commitment and ML approaches. Research gap: Results indicate that ML methods provide us a more reliable measurement of effort relative to conventional methods of estimating effort.

Singh and Leavline in 2019 [19] *studied* Dimensionality reduction using Feature subset selection and feature-ranking methods. Research gap: The techniques employed increase the classifier's prediction precision, reduce the incorrect prediction factor, as well as minimize the cost of time and space to construct the statistical model.

Shepperd *et al.* in 2012 [20] reported Evaluating prediction systems Using An unbiased statistic, Standardized Accuracy, Random 'predictions'. Research gap: Recently reported scientific evaluations of prediction processes are being re-examined and the initial findings found dangerous.

Malathi and Sridhar in 2012 [21] work on Software Cost Estimation using Quantitative basis for the development and validation. Research Gap: By constant analysis of different measures and methods, the findings are expected to change.

Brown and Boehm in 2010 [22] work on Software Cost Estimation, using Directed System of Systems (DSOS) or Acknowledged Systems of Systems (ASOS). Research gap: The technique is being used to measure the expense of software production of applications.

The RNNs are helpful and popular tool for modeling complex sequence Data and have been utilized in various applications such as speech recognition, sentiment analysis, music, and video [[23]; [24]; [25]; [26]]. Machine Learning based models are one of the strategies for programming imperfection forecasts. Similar to regression models, these can be used for either classification (defective/not defective) or to estimate defect count/densities. Over time as more data is made available, the models improvise on their predictive accuracy by adjusting their value of parameters (learning by experience)[7].

In the standard deep learning models of AI, convolutional neural networks (CNNs) are widely used for visual tasks such as classification and recognition task for

images and for objects, while recurrent neural network (RNNs) are typically for tasks mainly involve temporal patterns fed into the network as sequential input. Many RNN models are tested to make learning methods easier and better. The LSTM (Long Short-Term Memory) model was designed specifically as an RNN for sequential machine learning tasks like speech recognition, language understanding and sequence to sequence translation[27]. The IndRNN, a new type of RNNs with the recurrent connection formulated as Hadamard product, referred to as independently recurrent neural network (IndRNN), where neurons in the same layer are independent of each other and connected across layers. As connections in CNNs to facilitate the gradient flow in the network and achieve state-of-the-art RNN performance [28]. The convolutional neural networks have applied to sequential tasks but have substantial network-size and data-history memory requirements[29], [30]

## **PROBLEM STATEMENT**

Software defects affect the quality of the software. A defective software module creates a massive impact on the quality and efficiency of the software with increase in cost also, increases the software development cost and time and results in development to a smaller extent. Defect prediction can be a valuable tool for guiding the use of tools for quality assurance for software. Many research projects covered methods for predicting defects and methodological aspects of prediction research, the real cost - saving potential of predicting defects remains unclear.

### 3.1 PROPOSED MODEL

To determine the accurate sized figures for defect in the software.

#### 3.1.1 LSTM-RNN ALGORITHM

Input: PROMISE Software Defect Prediction Dataset (features with Labels)

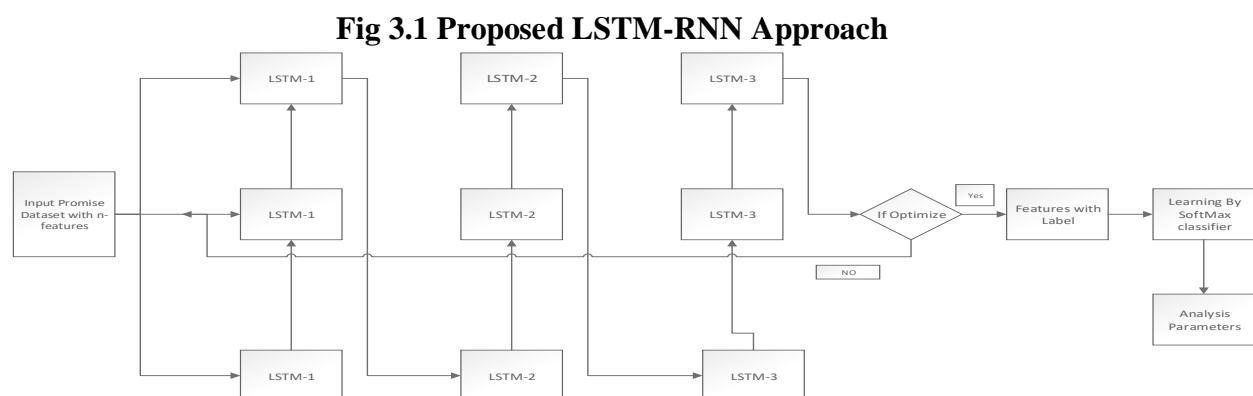
Output: Classification model

1. Start
2. Software defect prediction data store in NumPy 3 dimensional array (N, W,F)
  - N number of training Sequence
  - W is sequence Length
  - F is the number
3. A network structure is built with [1, a, b,1] dimension, where there is 1input layer, a neuron in the next layer, b neuron in the subsequent layer and a single layer with a linear activation function.
4. Train constructed LSTM-RNN network on the data
5. Use the output of last layer as the prediction of the statement.
6. Repeat 4 and 5 steps until optimize convergence.
7. Obtain prediction of testing data on trained LSTM-RNN network
8. Evaluate accuracy, precision and recall
9. End

### 3.2 PROPOSED FLOWCHART

In the present study ML approach, a Recurrent Neural Network (RNN) for predicting software defect were used on PROMISE dataset for five different version of software defects. The proposed approach is compared with two existing approaches i.e. RF & SVM to predict software faults on historical data. Experiments on various Pledge datasets from the PROMISE repository: JM1, KC1, KC2, PCI and CM1 variants are studied.

In the present study ML approach, a RNNfor predicting software defect were used on PROMISE dataset for five different version of software defect. The proposed flow chart include step 1, in which a network structure is built a input layer, a neuron in the next layer of the proposed model in flow chart and neuron in the subsequent layers and a single layer with activation function. The flow chart (Fig 3.1 )showsconstructed LSTM-RNN network on the data and use the output as the last layer in the prediction of the statement. The steps 4 and 5 repeat until optimize convergence. Prediction of testing data obtained on trained LSTM-RNN network. The evaluation includes evaluate accuracy, precision and recall.



## 4. EXPERIMENT AND RESULTS

### 4.1 EXPERIMENTAL SETUP

The experiment setup details with different parameters like dataset, type of dataset models uses and metrics for analysis performance model are presented in table 4.1. The table shows experiment setup of the present study and represents details of used dataset and type of dataset used in the

study. The type of dataset used are different version of software's i.e. CM1,JC1,KC1,KC2,PC1. In setup also show the algorithms and its component LSTM-RNN. LSTMs are recurrent neural network have memory blocks in the layers of recurrent hidden layer and are easier to train than standard RNNs [3], [4].

**Table 4.1: Experimental Setup of Software Defect Prediction**

Parameters	Value
Dataset	Promise
Activation function	RELU
Pooling	Max
Features	20
type of dataset	CM1,JC1,KC1,KC2,PC1
LSTM-RNN Layer	3
Classifier	SoftMax
Metrics	Accuracy, precision, recall

### 4.2 RESULTS

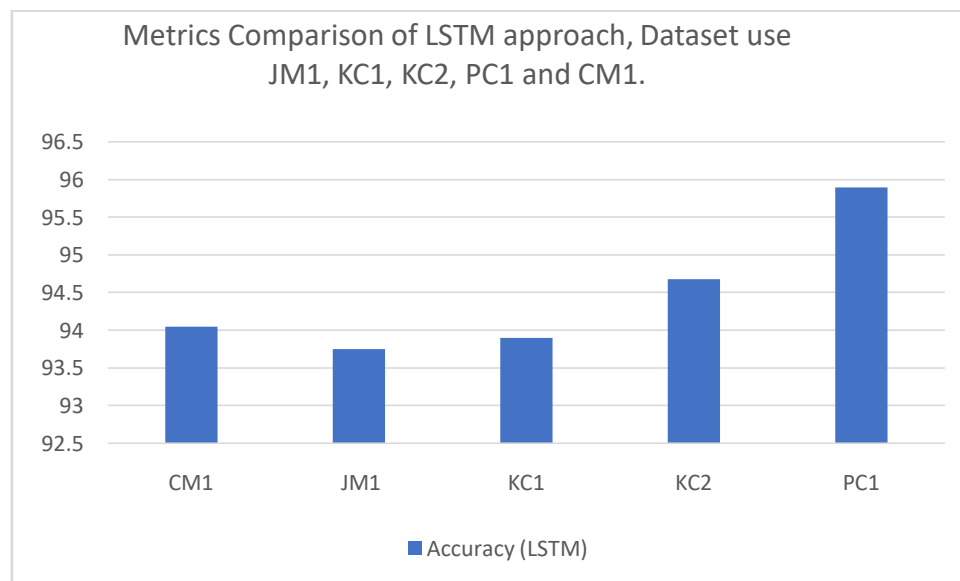
The observations in the present study of performance analysis of LSTM approach and two existing ML approaches on different metrics and datasets are shown in table 4.2. The metrics used for the analysis are precision, recall and accuracy. As shown in the table 4.2, the proposed LSTM approach is better than the two existing support vector machine and random forest approaches in analysis. The dataset used for the comparison study are JM1, KC1, KC2, PC1 and CM1. The performance of LSTM approach on accuracy on different PROMISE datasets are presented using the bar diagram for

comparison in between the different data sets and presented in the fig 1. The performance of LSTM approach on Precision metrics using different PROMISE dataset are presented by bar diagram in fig. 2. The table 4.3 shows analysis of proposed model on Recall using different PROMISE dataset through LSTM -RNN approach. The comparative performance analysis results of LSTM approach on different metrics and datasets are shown using bar diagram in fig 4. For metrics used precision, recall and accuracy, dataset using JM1, KC1, KC2, PC1 and CM1.

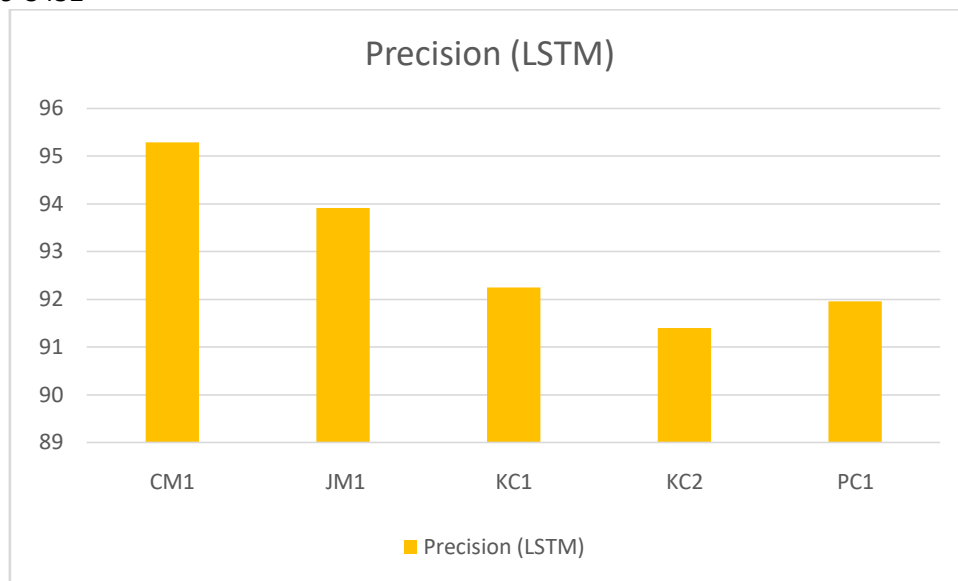
**Table 4.2: Metrics Comparison of LSTM approach with SVM & RF, Dataset use JM1, KC1, KC2, PC1 and CM1**

Dat aset	Accuracy			Precision			Recall		
	(SVM)	(RF)	(LSTM)	(SVM)	(RF)	(LSTM)	(SVM)	(RF)	(LSTM)
<b>CM1</b>	72.226 92308	83.046 85898	94.043 33333	72.767 94872	83.391 15384	95.283 33333	72.661 21795	83.411 76282	94.279 16667
<b>JM1</b>	72.170 51282	83.085 35257	93.746 66667	71.6 85256	82.097 33333	93.913 66667	72.066 49359	82.643 66667	94.64 66667
<b>KC1</b>	72.527 5641	83.599 32692	93.896 66667	70.631 41026	81.197 59616	92.246 66667	71.133 97436	81.882 59615	92.733 33333
<b>KC2</b>	73.298 07692	84.410 57692	94.675 66667	70.517 30769	81.148 26923	91.395 66667	71.448 07692	82.293 65385	92.215 66667
<b>PC1</b>	73.769 23077	84.834 61538	95.9 66667	70.730 76923	81.340 38462	91.95 66667	71.961 53846	82.755 76923	93.55 66667

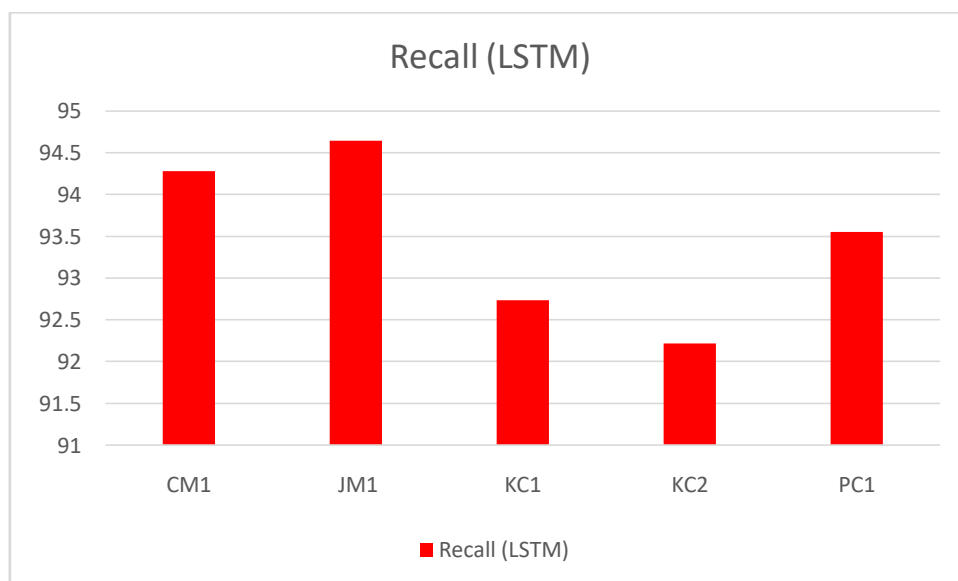
**Fig 4.1 Accuracy Analysis Comparison of Software defect prediction in LSTM on different PROMISE dataset**



**Fig 2: Precision Analysis Comparison of Software defect prediction in LSTM on different PROMISE dataset**

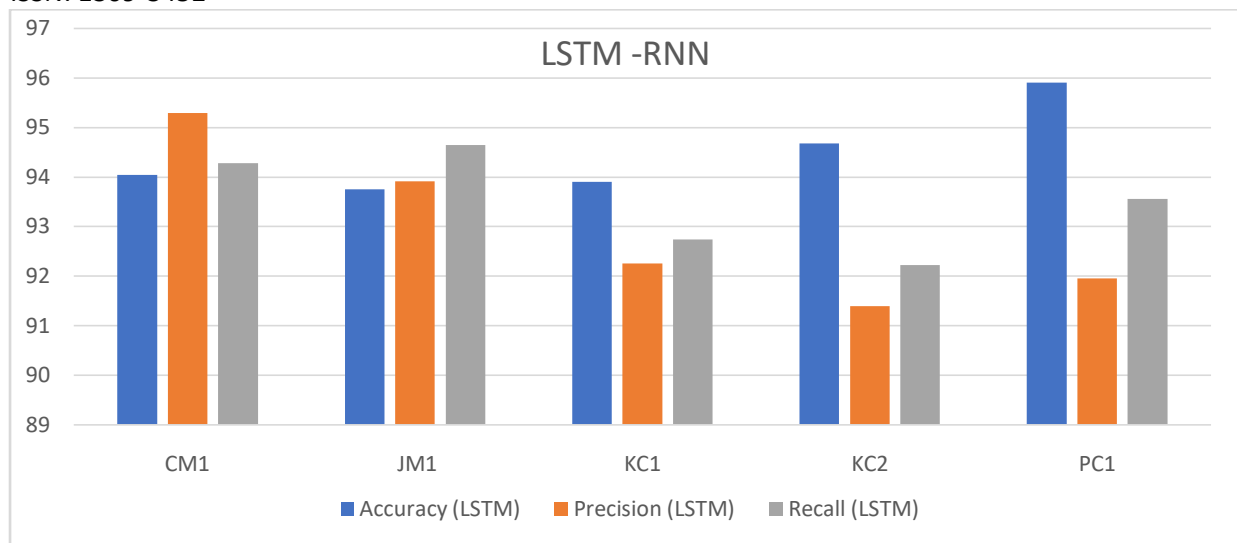


**Fig 3 Recall Analysis Comparison of Software defect prediction in LSTM on different PROMISE dataset**



**Fig 4: Metrics Comparison of LSTM approach, Dataset use JM1, KC1, KC2, PC1 and CM1.**





#### 4.3 RESULTS - ANALYSIS AND DISCUSSION

- In experiment use LSTM -RNN approach for predicting software defect. In experiment, we used PROMISE Dataset using five different version of software defect.
- Experiment results use three performance metrics Precision, recall and Accuracy. These performance parameters represent the model reliability and validate model by experiment on different dataset.
- In model use a deep learning model using LSTM-RNN approach. This approach like CNN process not find any sequence by LSTM-RNN make sequence model, but the prediction model constructed can provide a satisfactory performance. The proposed approach is better than the two existing support vector machine and random forest approaches in analysis.
- In fig 4 shows the precision, recall and accuracy performance of models using LSTM-RNN. The Precision was found maximum in PC1 and shows perform efficiently in all datasets. The metrics recall performs most efficiently in JM1.

On the other hand, Precision was found maximum in CM1 and perform efficiently. LSTM show nonlinear mapping on RELU Layer. Accuracy range 93.74 to 95.9 % and shows maximum accuracy in PC1, and least was detected in JM1. Precision range 91.39 to 95.28 % and shows highest accuracy in CM1, and least was detected in PC1. Recall range 92.21 to 94.64 % and shows highest accuracy in JM1, and least was detected in KC2 in to LSTM-RNN.

#### CONCLUSION

The technique LSTM-RNN might prove appropriate for binary classification tasks that contain components of non-parametric applied statistics, neural networks and machine learning. In the present study, a specialized approach for machine learning a recurrent neural network for predicting software defect were used on PROMISE Dataset for five different version of software defect. The prediction model constructed can provide a satisfactory performance. These metric set can be helpful for software engineers and developers. The comparison results showed that the approach has the best results over the others. Moreover, experimental results showed that using RNN approach provides

a better performance for software defect prediction than RF and SVM approaches model. In conclude, RNN remark Accuracy range 93.74 to 95.9 % and shows maximum accuracy in PC1, and least was detected in JM1. Precision range 91.39 to 95.28 % and shows highest accuracy in CM1, and least was detected in PC1. Recall range 92.21 to 94.64 % and shows highest accuracy in JM1, and least was detected in KC2 in to LSTM-RNN. Software defect Prediction features dependent to each other, In future enhance this work depend on Bayesian network which combined with deep learning. In future also increase the validation step by using validation approaches and also shall focus on improving the feature selection approaches.

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